Form 990-PF

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation
on may be able to use a copy of this return to satisfy state re

OMB No 1545-0052 2009

Department	of the	Treasury
Internal Boy	C	

_		evenue Se			oundation may t	e able to					ung requirements	11/32 - 10
_				T T	ar beginning		12/		, and end			11/30, 2010
G	Che	ck all t	hat apply	Initia	al return		nitial return	•	oublic cha	rıty		Final return
				Ame	ended return		Addres	ss change		<u>N</u>	ame change	
			Name of fou	indation							A Employer identifi	cation number
ι	lse ti	he IRS										
	Use the IRS THE ANSCHUTZ FOUNDATION										74-	-2316617
(Other	rwise,	Number and	street (or P	O box number if	mail is not d	elivered to street	address)	Room/su	ııte	B Telephone number (se	e page 10 of the instructions)
	pr	int		·				·				
		ype.	1727 TRI	EMONT F	PLACE					- 1	(30	3) 308-8220
		pecific	City or town								C If exemption applica	
Ir	ıstru	ctions.		, state, and	Zii code						pending, check here	· · · · · · · · []
			DENVER,	CO 903	202					1	D 1 Foreign organiza	• —
_	<u> </u>										2 Foreign organiza 85% test, check i	
ᄔ		• •	e of organiza		Section 501(computation .	
1					naritable trust		<u>er taxable pr</u>				E If private foundatio	n status was terminated
ı			t value of all			unting me		ash 🔼 Acc	crual		•)(1)(A), check here . 🗲
			m Part II, co			her (spec					F If the foundation is	in a 60-month termination
_			1,133,0			column (c	l) must be on	cash basis))		under section 507(b)(1)(B), check here
Р	art l	Analy	sis of Reve	nue and E	Expenses (The	(a) Pay	venue and	(I-) NI-4) A divisate disease	(d) Disbursements
			f amounts in c ot necessarily			expe	nses per	(b) Net inve		(0	:) Adjusted net income	for charitable purposes
		colum	n (a) (see pag	e 11 of the	instructions))	b	ooks	1110011	.			(cash basis only)
T	1				attach schedule) .	•						
	2	Check 1	. ∣ if the f	foundation is	not required to			•				
1	3		- allacii		ash investments		208,400.	20	8,400.			ATCH 1
-	4		•		cunties	18,	532,646.	27,23	7,726.		·	ATCH 2
ı						······································			- 			111011 2
ı												-
						45	040,970.				· · · · · ·	
Kevenue	6a h	Net gair Gross s	or (loss) from	sale of assets	s not on line 10 , 366, 103.		040,970.					
9	_	assets o	n line ba					22 20	4,344.			
9	7	Capital	gain net inco	ome (from P	art IV, line 2) .			32,39	14,344.			
-	8			-		 				-		
Ì	9								<u>_</u>	7.7	المادي المادي الم	1
	10 a	Gross sa and allo	les less returns wances							·	f }	, , , , , , , , , , , , , , , , , , , ,
	b	Less Co	st of goods sold	ـــــــا ، ا					1:5			
	С	Gross	profit or (loss)	(attach sch	nedule)				,	CT	17 /011	<u> </u>
	11						545,871.	-30	5,998.	- A4 /2m		ATCH 3
	12				[75,	327,887.	59,53	4,47,2;	7.3	. 1	•
T	13				trustees, etc		401,763.	4	0,176		,	361,587
ł	14				iges		128,491.	1	2,849.			115,642
S	15		n plans, empl		- 1			-				
2	162				TCH 4		1,625.		163.		0.	1,462
ğ			iting fees (att				,					,
9	-						963,727.	96	3,727.			
Š	C 4-			•	schedule).*				-,			
LIG	17		t		اسند		911,699.		6,964.			62,677
≘	18	•	•		of the instructions)		1,148.	-	115.			02,077
ĒΙ	19	•	•	•	and depletion.		1,140.		110.	-		
4	20				• • • • • • • • •		70					71
2	21				gs		79.		8.			71
	22	Printing	g and publicat	tions			635.		64.			571
ΞΊ	23	Other 6	expenses (atta	ach schedul	e) ATCH. 7.		86,919.		8,692.			78,227
perating	24				ative expenses.							
e l		Add lin	es 13 through	h 23			496,086.	1,03	2,758.		0.	620,237
וכ	25		_		[420,002.					42,806,002
	26		_	•	l lines 24 and 25	45,	916,088.	1,03	2,758.		0.	43,426,239
\neg	 27		ct line 26 from									
			f revenue over ex		sbursements	29,	411,799					
- 1					ative enter -0-)			58 50	1,714.			

c Adjusted net income (if negative, enter -0-). For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the Instructions. * ATCH 5 JSA 9E1410 2 000 ATCH 6 10/12/2011 12:29:21 PM

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Ð	art II	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year	Beginning of year	End o	f year
_	and in	amounts only (See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	417,361,392.	406,951,098.	406,951,098.
	3	Savings and temporary cash investments			
		Less allowance for doubtful accounts ▶	10,406,000.	13,299,051.	13,299,051.
		Pledges receivable ▶			,
		Less allowance for doubtful accounts			
	5	Grants receivable			
	_	Receivables due from officers, directors, trustees, and other			
		disqualified persons (attach schedule) (see page 16 of the instructions)			
	7	Other notes and loans receivable (attach schedule)		* 47,973,056.	ATCH 8
	′	Less allowance for doubtful accounts	79,967,787.	47,973,056.	47,973,056.
	_		7373077707.	11/3/3/0301	11,75757666
įts		Inventories for sale or use	15,000.	921,943.	921,943.
Assets	9	Prepaid expenses and deferred charges ATCH .9	13,000.	721, 733.	721,743.
۲		Investments - U S and state government obligations (attach schedule)	337,604,322.	492,944,363.	492,944,363.
		Investments - corporate stock (attach schedule) ATCH 10			
	11	Investments - corporate bonds (attach schedule), ATCH, 11 Investments - land, buildings, 35, 258	153,273,441.	132,425,304.	132,425,304.
		and equipment hasis		10.550	10.550
		Less accumulated depreciation 22,706. (attach schedule)		12,552.	12,552.
	12	Investments - mortgage loans Investments - other (attach schedule) ATCH 12			<u> </u>
	13	Investments - other (attach schedule) ATCH 12	135,731,194.	38,563,104.	38,563,104.
	14	Land, buildings, and equipment basis			
		equipment basis Less accumulated depreciation (attach schedule)			
	15	Other assets (describe			
	16	Total assets (to be completed by all filers - see the			
		instructions Also, see page 1, item I)	1,134,359,136.	1,133,090,471.	1,133,090,471.
_		Accounts payable and accrued expenses	21,728,830.	25,623,699.	
	18	Grants payable	5,061,000.	5,675,000.	
s		Deferred revenue		· · ·	
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
Ē		Mortgages and other notes payable (attach schedule)			
Lia	22		125,221,523.	90,032,190.	
	22	Other liabilities (describe ► ATCH_13)	223,222,0201	30,002,2301	
	23	Total liabilities (add lines 17 through 22)	152,011,353.	121,330,889.	
_		Foundations that follow SFAS 117, check here		· · · · · · · · · · · · · · · · · · ·	
		and complete lines 24 through 26 and lines 30 and 31.			
es	24	Unrestricted			
Š	25		<u> </u>		
ala	25 26	Temporarily restricted			
8	26	Permanently restricted			
Fund		Foundations that do not follow SFAS 117,			
or F		check here and complete lines 27 through 31. ► X			
		Capital stock, trust principal, or current funds	858,560,808.	858,560,808.	
Net Assets	28	Paid-in or capital surplus, or land, bldg , and equipment fund	123,786,975.	153,198,774.	
As		Retained earnings, accumulated income, endowment, or other funds	123,700,973.	133,130,774.	
et.	30	Total net assets or fund balances (see page 17 of the	000 047 700	1 011 750 500	
Ž		ınstructions)	982,347,783.	1,011,759,582.	
	31	Total liabilities and net assets/fund balances (see page 17		1 100 000 481	
_		of the instructions)	1,134,359,136.	1,133,090,471.	
_		Analysis of Changes in Net Assets or Fund		-·	
1		net assets or fund balances at beginning of year - Part I		_	
	end-	of-year figure reported on prior year's return)	• • • • • • • • • • • • •	1	982,347,783.
2		r amount from Part I, line 27a			29,411,799.
		a consequence of the standard or live a O. (decomp.)			
		lines 1, 2, and 3			1,011,759,582.
		agage not included in line 2 (itemize) >	· · · · · · · · · · · · · · · · · · ·	_	
		net assets or fund balances at end of year (line 4 minus	line 5) - Part II. column (b		1,011,759,582.
_			=, ====================================		- 000 DE

Form **990-PF** (2009)

2-story b	sales price (f) Depreciation allowed (g) Cost or other basis plus expense of sale plus expen		(b) How acquired P-Purchase D-Donation		(d) Date sold (mo , day, yr)
a SEE PART IV SCH	EDULE				
b	···				ļ
С			<u> </u>		ļ
d				ļ	-
<u>e</u>				l	<u> </u>
(e) Gross sales price		(g) Cost or other basis plus expense of sale		(h) Gain or (lo (e) plus (f) min	
a b			<u> </u>		
C			 		
d			+	***	
e					···
:	howing gain in column (b) and ow	ned by the foundation on 12/31/69) (0-1-1	
(i) F M V as of 12/31/69	(j) Adjusted basis	(k) Excess of col (i)	_ ``	Gains (Col (h) g (k), but not less Losses (from co	than -0-) or
a		g,, , ,	 		
b					
С					
d					
е	<u></u>				
Capital gain net income or	r (net cantal loce)	gain, also enter in Part I, line 7 (loss), enter -0- in Part I, line 7	2	32,	394,344.
· -	n or (loss) as defined in sections 12	222(5) and (6)			
If noin also enter in Dort !	line 8, column (c) (see pages 13)	and 17 of the instructions)	.		
n yanı, also entel ili Fall i	, mile o, coldimi (c) (ccc pages is				
If (loss), enter -0- in Part I,	line 8		3		
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti	nder Section 4940(e) for Red	uced Tax on Net Investment In	come	ome)	
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for	Inne 8	uced Tax on Net Investment In e section 4940(a) tax on net inves	come tment inco	_	Yes X N
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for f "Yes," the foundation does	Inne 8	uced Tax on Net Investment In e section 4940(a) tax on net inves outable amount of any year in the li Do not complete this part	come tment inco	od?	Yes X N
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for f "Yes," the foundation does	Inne 8	uced Tax on Net Investment In e section 4940(a) tax on net inves outable amount of any year in the li Do not complete this part r, see page 18 of the instructions by	come tment inco	king any entries (d) Distribution of	atio
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for "Yes," the foundation does 1 Enter the appropriate am (a) Base period years	Inne 8	butable amount of any year in the long to not complete this part r, see page 18 of the instructions be used.	come tment inco	od? king any entries	atio
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for "Yes," the foundation does 1 Enter the appropriate am (a) Base penod years Calendar year (or tax year beginning in)	Inne 8	putable amount of any year in the long not complete this part r, see page 18 of the instructions by Net value of nonchantable-use assets	come tment inco	king any entries (d) Distribution of	atio y col (c))
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for "Yes," the foundation does 1 Enter the appropriate am (a) Base period years Calendar year (or tax year beginning in) 2008	Inne 8	putable amount of any year in the long to not complete this part r, see page 18 of the instructions by Net value of nonchantable-use assets 881,723,052.	come tment inco	king any entries (d) Distribution of	atio y col (c)) 0.042095
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for "Yes," the foundation does 1 Enter the appropriate am (a) Base period years Calendar year (or tax year beginning in) 2008 2007	Inne 8	putable amount of any year in the land to be possible amount of any year in the land to be part of the instructions by the value of nonchantable-use assets to be part of the instructions by the value of nonchantable-use assets to be part of the instructions by the value of nonchantable-use assets to be part of the instructions by the value of nonchantable-use assets to be part of the value of nonchantable-use a	come tment inco	king any entries (d) Distribution of	atio y col (c)) 0.042095 0.016757
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for "Yes," the foundation does 1 Enter the appropriate am (a) Base period years Calendar year (or tax year beginning in) 2008 2007 2006	Inne 8	putable amount of any year in the land to be possible amount of any year in the land to be part of the instructions by the value of nonchantable-use assets to be part of the instructions by the value of nonchantable-use assets to be part of the instructions by the value of nonchantable-use assets to be part of the instructions by the value of nonchantable-use assets to be part of the value of nonchantable-use a	come tment inco	king any entries (d) Distribution of	atio y col (e)) 0.042095 0.016757 0.053702
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for f "Yes," the foundation does 1 Enter the appropriate am (a) Base period years Calendar year (or tax year beginning in) 2008 2007 2006 2005 2004	Inne 8	putable amount of any year in the land to the point of the instructions by the value of nonchantable-use assets (c) Net value of nonchantable-use assets 881,723,052. 811,468,241. 1,078,577,844. 573,119,375. 496,148,207.	pase perm	king any entries (d) Distribution of	atio y col (e)) 0.042095 0.016757 0.053702 0.040597
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for f "Yes," the foundation does 1 Enter the appropriate am (a) Base penod years Calendar year (or tax year beginning in) 2008 2007 2006 2005 2004 ! Total of line 1, column (d) A Average distribution ratio	Inne 8	putable amount of any year in the land to the point of the instructions by the value of nonchantable-use assets (c) Net value of nonchantable-use assets 881,723,052. 811,468,241. 1,078,577,844. 573,119,375. 496,148,207.	come tment inco	king any entries (d) Distribution of	atio y col (c)) 0.042095 0.016757 0.053702 0.040597 0.047549
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for f "Yes," the foundation does 1 Enter the appropriate am (a) Base penod years Calendar year (or tax year beginning in) 2008 2007 2006 2005 2004 ! Total of line 1, column (d) A verage distribution ratio number of years the foundation	Inne 8	uced Tax on Net Investment In e section 4940(a) tax on net inves Do not complete this part r, see page 18 of the instructions b (c) Net value of nonchantable-use assets 881,723,052. 811,468,241. 1,078,577,844. 573,119,375. 496,148,207.	pase permanent income	king any entries (d) Distribution r (col (b) divided by	atio y col (c)) 0.042095 0.016757 0.053702 0.040597 0.047549
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for f "Yes," the foundation does 1 Enter the appropriate am (a) Base period years Calendar year (or tax year beginning in) 2008 2007 2006 2005 2004 Total of line 1, column (d) A Average distribution ratio number of years the foundation in the column of	Inne 8	putable amount of any year in the land to the post of the instructions by the value of nonchantable-use assets (c) Net value of nonchantable-use assets 881,723,052. 881,468,241. 1,078,577,844. 573,119,375. 496,148,207.	pase permanent income	king any entries (d) Distribution r (col (b) divided by	atto y col (c)) 0.042095 0.016757 0.053702 0.040597 0.047549 0.200700 0.040140
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for f "Yes," the foundation does 1 Enter the appropriate am Base period years Calendar year (or tax year beginning in) 2008 2007 2006 2005 2004 Total of line 1, column (d) Average distribution ratio number of years the foun Enter the net value of nor Multiply line 4 by line 3	Inne 8	putable amount of any year in the land point complete this part reservable. See page 18 of the instructions by the value of nonchantable-use assets 881,723,052.811,468,241.1,078,577,844.573,119,375.496,148,207.	pase permanent income	king any entries (d) Distribution r (col (b) divided by	atio (c)) 0.042095 0.016757 0.053702 0.040597 0.047549 0.200700 0.040140 485,626.
If (loss), enter -0- in Part I, Part V Qualification U For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for f "Yes," the foundation does 1 Enter the appropriate am (a) Base period years Calendar year (or tax year beginning in) 2008 2007 2006 2005 2004 2 Total of line 1, column (d) 3 Average distribution ratio number of years the foun 4 Enter the net value of nor 5 Multiply line 4 by line 3 6 Enter 1% of net investment	Inne 8	putable amount of any year in the land to be section 4940(a) tax on net invest the point of any year in the land to be part of the instructions be complete this part of the instructions be complete the instructions because the instruction	pase permanefore ma	king any entries (d) Distribution r (col (b) divided by	atio 0.042095 0.016757 0.053702 0.040597 0.047549 0.200700 0.040140 485,626. 958,793.

Par	Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the	e ins	tructio	ns)
1 a	Exempt operating foundations described in section 4940(d)(2), check here			
	Date of ruling or determination letter(attach copy of ruling letter if necessary - see instructions)			
	Domestic foundations that meet the section 4940(e) requirements in Part V, check	5	85,0	17.
	here ▶ X and enter 1% of Part I, line 27b			
С	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4%			
	of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) 2			
3	Add lines 1 and 2	5	85,0	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 4			0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0	5	85,0	<u> 17.</u>
6	Credits/Payments			
	2009 estimated tax payments and 2008 overpayment credited to 2009 6a 1,558,943.			
b	Exempt foreign organizations-tax withheld at source			
	Tax paid with application for extension of time to file (Form 8868) 6c 0.			
	Backup withholding erroneously withheld			
7	Total credits and payments Add lines 6a through 6d	1,5	58,9	43.
	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶ 9			0.6
10	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid Enter the amount of line 10 to be Credited to 2010 estimated tax ▶ 973,926. Refunded ▶ 11	9	73,9	<u> 26.</u>
11	Enter the amount of line 10 to be Credited to 2010 estimated tax ▶ 9/3,926. Refunded ▶ 11			
	t VII-A Statements Regarding Activities			
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it		Yes	No X
	participate or intervene in any political campaign?	1a		
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19	۱. ا		Х
	of the instructions for definition)?	1b		
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials	Ì	Ĭ	
_	published or distributed by the foundation in connection with the activities	1 c	ľ	Х
	Did the foundation file Form 1120-POL for this year? Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year	-16		
u	(1) On the foundation \$\\$			
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed			
C	on foundation managers >\$	ļ	Ì	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
_	If "Yes," attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of			
	incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4 a		X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4 b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		<u> X</u>
	If "Yes," attach the statement required by General Instruction T	ĺ		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	By language in the governing instrument, or			
	By state legislation that effectively amends the governing instrument so that no mandatory directions that			
	conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Х	
8 a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) \triangleright CO ,			
ь	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General	, }		
-	(or designate) of each state as required by General Instruction G? If "No," attach explanation	8 b	Х	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or			
	4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page			
	27)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their	٦		
	names and addresses	10		X

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c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here

Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its

charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?

3a Did the foundation hold more than a 2% direct or indirect interest in any business

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Par	t VII-B Statements Regarding Activities fo	r Which Form 47	720 May Be Requi	red (continued)		
5 a	During the year did the foundation pay or incur any amour	nt to				
	(1) Carry on propaganda, or otherwise attempt to influence		4945(e))?	Yes X No		
	(2) Influence the outcome of any specific public election	(see section 4955), o	r to carry on,			
	directly or indirectly, any voter registration drive?			Yes X No		
	(3) Provide a grant to an individual for travel, study, or oth	er sımılar purposes?		Yes X No		
	(4) Provide a grant to an organization other than a charit	able, etc , organizatio	n described in			
	section 509(a)(1), (2), or (3), or section 4940(d)(2)? (s	see page 22 of the ins	structions)	X Yes No		
	(5) Provide for any purpose other than religious, charitab	le, scientific, literary,	or educational			
	purposes, or for the prevention of cruelty to children or					
b	If any answer is "Yes" to 5a(1)-(5), did any of the transa	actions fail to qualify	under the exceptions	described in		
	Regulations section 53 4945 or in a current notice regardi	ng disaster assistanc	e (see page 22 of the i	nstructions)?	5b	X
	Organizations relying on a current notice regarding disaste	er assistance check h	ere	▶ 🗀		
С	If the answer is "Yes" to question 5a(4), does the foundation	tion claim exemption f	from the tax	ræn 🗀		
	because it maintained expenditure responsibility for the gra	ant?		X Yes No		
	If "Yes," attach the statement required by Regulations section	ion 53 4945-5(d)				
6 a	Did the foundation, during the year, receive any funds, di	•	• • •	□ 🔽		
	on a personal benefit contract?			Yes X No		l x
þ	Did the foundation, during the year, pay premiums, direct	tly or indirectly, on a p	personal benefit contrac	_{t2}	6ь	
- -	If "Yes" to 6b, file Form 8870 At any time during the tax year, was the foundation a par	tu ta a muchibitad tau	aboltor transportunia	Yes X No		
	If yes, did the foundation receive any proceeds or have a				_	
	t VIII Information About Officers, Directors,					
	and Contractors List all officers, directors, trustees, foundation ma					
<u> </u>		(b) Title, and average	(c) Compensation (If not paid, enter	(d) Contributions to	(e) Expens	e account.
	(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	employee benefit plans and deferred compensation	other all	
			401 762	0		0 –
A1.	TACHMENT 14		401,763.	-0-		
2	Compensation of five highest-paid employees (oth	ner than those inc	luded on line 1 - se	e page 23 of the inst	ructions).	
	f none, enter "NONE."		<u> </u>			
la	Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	(d) Contributions to employee benefit	(e) Expens	
,	, than and an	devoted to position	(0)	plans and deferred compensation	other all	owances
ΑT	TACHMENT 15		77,130.	NONE	N	ONE
T.4.	Laumhar of other ampleuses and over \$50,000			<u> </u>		
ota	I number of other employees paid over \$50,000 .			<u></u>	Ec	0-PF (2009)
					rom 33	U-FF (2009)

Part VIII	Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Emplo and Contractors (continued)	yees,
3 Five high	est-paid independent contractors for professional services (see page 23 of the instructions). If none,	enter "NONE."
	(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
NONE		
Total number	er of others receiving over \$50,000 for professional services	NONE
Part IX-A	Summary of Direct Charitable Activities	
	dation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number one and other beneficianes served, conferences convened, research papers produced, etc.	Expenses
1 N/A		
2		
3		
3		
4		
Dort IV D	Summary of Program-Related Investments (see page 23 of the instructions)	<u> </u>
Part IX-B	two largest program-related investments (see page 23 of the instructions)	Amount
		7
1 N/A		
2		
All other pro	gram-related investments. See page 24 of the instructions	
3 NONE		
Total Add I	nes 1 through 3	ļ- -

Form 990-PF (2009)

74-2316617

Form	990-PF (2009) 74-2316617		Page 8
Pa	Minimum Investment Return (All domestic foundations must complete this part. Foreignee page 24 of the instructions.)	gn four	ndations,
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,		
	purposes		
а	Average monthly fair market value of securities	1a	635,283,004.
b	Average of monthly cash balances	1b	374,459,926.
С	Fair market value of all other assets (see page 24 of the instructions)	1c	902,376.
d	Total (add lines 1a, b, and c)	1d	1,010,645,306.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation)		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,010,645,306.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25		
	of the instructions)	4	15,159,680.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	995,485,626.
6	Minimum investment return. Enter 5% of line 5	6	49,774,281.
Pa	Tt XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) privations and certain foreign organizations check here ▶ □ and do not complete this j		atng
1	Minimum investment return from Part X, line 6	1	49,774,281.
2 a	Tax on investment income for 2009 from Part VI, line 5 2a 585,017.		
b	Income tax for 2009 (This does not include the tax from Part VI) 2b	1	
С	Add lines 2a and 2b	2c	585,017.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	49,189,264.
4	Recoveries of amounts treated as qualifying distributions	4	
5		5	49,189,264.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII,		
	line 1	7	49,189,264.
Pa	rt XII Qualifying Distributions (see page 25 of the instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
а	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	43,426,239.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3 b	0.
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	43,426,239.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income		
	Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	585,017.
6	Adjusted qualifying distributions. Subtract line 5 from line 4		42,841,222.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating v qualifies for the section 4940(e) reduction of tax in those years	vhether	the foundation

Form **990-PF** (2009)

74-2316617

Pa	rt XIII Undistributed Income (see page	26 of the instruction	ns)	· · · · · · · · · · · · · · · · · · ·	
		(a)	(b)	(c)	(d)
1	Distributable amount for 2009 from Part XI,	Corpus	Years prior to 2008	2008	2009
	line 7				49,189,264.
2	Undistributed income, if any, as of the end of 2009				
	Enter amount for 2008 only			42,392,889.	
h	Total for pnor years 20 07 20 06 20 05				
3	Excess distributions carryover, if any, to 2009				
	_ i i				
d	From 2006				
	From 2007				
4	Total of lines 3a through e			-	
	line 4 ▶ \$ 43,426,239.			40.000.000	
а	Applied to 2008, but not more than line 2a			42,392,889.	
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
С	Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d	Applied to 2009 distributable amount	~_ <u>-</u>			1,033,350.
	Remaining amount distributed out of corpus	0.			
5	Excess distributions carryover applied to 2009				
	(If an amount appears in column (d), the same amount must be shown in column (a))				
6	Enter the net total of each column as indicated below:				
_	Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
	· ·				
b	Prior years' undistributed income Subtract line 4b from line 2b				
С	Enter the amount of prior years' undistributed				
	income for which a notice of deficiency has been				
	issued, or on which the section 4942(a) tax has been previously assessed				
	Subtract line 6c from line 6b Taxable				
u	amount - see page 27 of the instructions				
е	Undistributed income for 2008 Subtract line				
	4a from line 2a Taxable amount - see page 27 of the instructions				
_		•			
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be				
	distributed in 2010,				48,155,914.
7	Amounts treated as distributions out of corpus				
	to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9	Excess distributions carryover to 2010.	***			
-	Subtract lines 7 and 8 from line 6a	0.			
10	Analysis of line 9				
	Excess from 2005				
	Excess from 2006				
	Excess from 2007				
d	I				
е	Excess from 2009 0 .				

Pa	rt XIV Private Oper	rating Foundations	(see page 27 of the	instructions and Pa	rt VII-A, question 9)	NOT APPLICABLE
1 a	If the foundation has foundation, and the rulin					
b	Check box to indicate wh)(3) or 4942(j)(5)
2 a	Enter the lesser of the ad-	Tax year		Prior 3 years		
Za	justed net income from Part	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
	I or the minimum investment return from Part X for each year listed					
b	85% of line 2a	7/-				
c	Qualifying distributions from Part					
•	XII, line 4 for each year listed .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
е	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
а	"Assets" alternative test - enter					
	(1) Value of all assets(2) Value of assets qualifying under section				-	
b	4942(j)(3)(B)(i) "Endowment" alternative test-					
	enter 2/3 of minimum invest- ment return shown in Part X,					
С	line 6 for each year listed "Support" alternative test - enter					
	(1) Total support other than gross investment income (interest, dividends rents,			\$ - -		
	payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942					
	(3) Largest amount of support from an exempt					
	organization				-	
Pa		ary Information (C	omplete this part	only if the found	ation had \$5,000	or more in assets
		during the year - se		structions.)	<u>,-</u>	
1	Information Regardin	-				
а	List any managers of before the close of any	the foundation who if the tax year (but only if the	have contributed mor hey have contributed i	e than 2% of the tota more than \$5,000) (S	ee section 507(d)(2))	ed by the foundation
_	NONE					
b	List any managers of ownership of a partner					large portion of the
	NONE					
2	Information Regardin	g Contribution, Gran	t, Gift, Loan, Scholars	hip, etc., Programs:		
	Check here ► if t unsolicited requests f organizations under of	for funds. If the four	idation makes gifts, g			
а	The name, address, a ATTACHMI	•	of the person to whor	m applications should b	e addressed	
b	The form in which app		bmitted and informati	on and materials they	should include	
	SEE ATTACH	ED SCHEDULE				
С	Any submission deadle	ines				
	SEE ATTACH	ED SCHEDULE				
d	Any restrictions or li factors	mitations on awards	, such as by geogra	aphical areas, charita	ble fields, kinds of	institutions, or other
	SEE ATTACH	ED SCHEDULE				
JSA						QQ0 DE (2000)

Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment Recipient If recipient is an individual, show any relationship to any foundation manager or substantial contributor Purpose of grant or contribution Amount Amount Amount Purpose of grant or contribution Purpose of grant or c							
Recipient	If recipient is an individual, show any relationship to	Foundation	Purpose of grant or	Amount			
Name and address (home or business)	any foundation manager or substantial contributor	recipient	contribution	Amount			
Name and address (home or business) a Paid during the year SEE ATTACHED SCHEDULE	snow any relationship to any foundation manager or substantial contributor	status of recipient	Purpose of grant or contribution	42,806,002			
Total			▶ 3a	42,806,002 614,000			
Total				614,000			

	A Analysis of Income-Produ amounts unless otherwise indicated	1	vities ated business income	Excluded by	section 512, 513, or 514	(e)
Ū		(a) Business code	(b) Amount	(c)	(d) Amount	Related of exempt function income (See page 28 of the instructions)
a	n service revenue					the instructions)
c						
d e						
-	and contracts from government agencies					
	ship dues and assessments			14	208,400.	
	on savings and temporary cash investments			14	18,532,646.	
	ds and interest from securities			14	10,332,040.	
	al income or (loss) from real estate					
	t-financed property					
	debt-financed property					
	I income or (loss) from personal property		<u> </u>	18	11,545,871.	
	vestment income			18	45,040,970.	
•	loss) from sales of assets other than inventory one or (loss) from special events			10	10/010/510	
•	rofit or (loss) from sales of inventory					
_	venue a					
						
9 C…ba=4=1	Add columns (b), (d), and (e)				75,327,887.	
ne No. ▼	Explain below how each active the accomplishment of the formage 29 of the instructions.)					
	NOT APPLICABLE					
		_				
_						
-						·
					_	
				 .		<u>.</u>
				-		Form 990-PF (

Pa	rt XVI	Information Exempt Orga		ransfers To and	Transac	tions a	nd Relat	ionships With I	Nonc	harit	able
1	Did t	he organization directly	y or indirectly ode (other than	engage in any of the section 501(c)(3) orga	following	with an	y other org	ganization described relating to political		Yes	No
а				oncharitable exempt organi							
									1a(1)		X
											X
b	Other	transactions									
				rganization					1b(1)		X
				xempt organization					1b(2)		X
				ts							X
											X
				fundraising solicitations							X
С				her assets, or paid employ							X
d				Yes," complete the fol						faır r	narket
				ices given by the rep	_			-			
				gement, show in colum	_						
(a)	ine no	(b) Amount involved	(c) Name of n	noncharitable exempt organiz	ation		ption of trans	fers, transactions, and sha	nng arra	ngeme	nts
		N/A		<u> </u>		N/A					
				•	+						
				·····							
											
-			· · · · · · · · · · · · · · · · · · ·								
						······					
							,				
				-							
											
2a				ted with, or related to, 01(c)(3)) or in section 52		ore tax-ex	empt organi	zations described in	Ye	es 🗀	No
<u>b</u>	If "Ye	s," complete the following				·					
	_	(a) Name of organization	1	(b) Type of organ	nization			(c) Description of relation	ship		
	Under belief,	penalties of perjury, I declar it is true, correct, and com	are that I have exa	amined this return, including f preparer (other than taxpa	accompany yer or fiduc	ying schedu iary) is base	les and stater ed on all infor	ments, and to the best of mation of which prepare	of my kr rhas ar	nowled ny knov	ge and wiedge
	_	leng 17 1	Lender			10/13/	2011	Asst Trans	چی دو		
e.	Sı	gnature of officer or trustee				Date		Title			
Sign Here	r. S. ⋝	Preparer's			Date		Check if self-employ	Preparer's number (So page 30 of	ee Signa	ture o	
Si	Paid reparer: Use Only	signature			<u> </u>		<u> </u>				
l	Paid Preparer's Use Only	Firm's name (or yours if						EIN ▶			
	_	self-employed), address and ZIP code	, <i>F</i>					Dhana			
		1						Phone no	orm 99	0-PF	(2009)

SCHEDULE D (Form 1041)

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Department of the Treasury Internal Revenue Service Name of estate or trust

THE ANSCHUTZ FOUNDATION

Employer identification number 74-2316617

art	Short-Term Capital Gains and L (a) Description of property	(b) Date acquired	(c) Date sold	***************************************	(e) Cost or other bas	
	(Example 100 shares 7% preferred of "Z" Co)	(mo , day, yr)	(mo , day, yr)	(d) Sales price	(see page 4 of the instructions)	the entire year Subtract (e) from (d)
а						
			. ,			
b	Enter the short-term gain or (loss), if any	, from Schedule D	-1, line 1b	• • • • • • • • • •	<u>. 1</u>	70,455,768
2	Short-term capital gain or (loss) from Fo	rms 4684, 6252, (6781, and 882	4		2
	Net short-term gain or (loss) from partne	rships, S corpora	tons and other	estates or trusts	.	3
						· · · · · · · · · · · · · · · · · · ·
	Short-term capital loss carryover Enter t	he amount, if any	, from line 9 of	the 2008 Capital Los	SS	4 (
5	Short-term capital loss carryover Enter t Carryover Worksheet Net short-term gain or (loss). Combine li	he amount, if any nes 1a through 4	r, from line 9 of	the 2008 Captal Los Enter here and on li	ne 13,	4 (
5	Short-term capital loss carryover Enter to Carryover Worksheet Net short-term gain or (loss). Combine licolumn (3) on the back.	he amount, if any nes 1a through 4	r, from line 9 of 	the 2008 Captal Los	ne 13,	4 (
5	Short-term capital loss carryover Enter to Carryover Worksheet Net short-term gain or (loss). Combine licolumn (3) on the back.	he amount, if any nes 1a through 4	r, from line 9 of 	the 2008 Captal Los	ne 13,	4 (5 70,455,768 (f) Gain or (loss) for the entire year
art	Short-term capital loss carryover Enter to Carryover Worksheet Net short-term gain or (loss). Combine licolumn (3) on the back. Long-Term Capital Gains and Loss (a) Description of property	he amount, if any nes 1a through 4 ses - Assets Held (b) Date acquired	r, from line 9 of 	the 2008 Capital Los Enter here and on li	(e) Cost or other bas (see page 4 of the	4 (5 70,455,768
art	Short-term capital loss carryover Enter to Carryover Worksheet Net short-term gain or (loss). Combine licolumn (3) on the back. Long-Term Capital Gains and Loss (a) Description of property	he amount, if any nes 1a through 4 ses - Assets Held (b) Date acquired	r, from line 9 of 	the 2008 Capital Los Enter here and on li	(e) Cost or other bas (see page 4 of the	4 (5 70,455,768 (f) Gain or (loss) for the entire year
art	Short-term capital loss carryover Enter to Carryover Worksheet Net short-term gain or (loss). Combine licolumn (3) on the back. Long-Term Capital Gains and Loss (a) Description of property	he amount, if any nes 1a through 4 ses - Assets Held (b) Date acquired	r, from line 9 of 	the 2008 Capital Los Enter here and on li	(e) Cost or other bas (see page 4 of the	4 (5 70,455,768 (f) Gain or (loss) for the entire year
5	Short-term capital loss carryover Enter to Carryover Worksheet Net short-term gain or (loss). Combine licolumn (3) on the back. Long-Term Capital Gains and Loss (a) Description of property	he amount, if any nes 1a through 4 ses - Assets Held (b) Date acquired	r, from line 9 of 	the 2008 Capital Los Enter here and on li	(e) Cost or other bas (see page 4 of the	4 (5 70,455,768 (f) Gain or (loss) for the entire year
art	Short-term capital loss carryover Enter to Carryover Worksheet Net short-term gain or (loss). Combine licolumn (3) on the back. Long-Term Capital Gains and Loss (a) Description of property	he amount, if any nes 1a through 4 ses - Assets Held (b) Date acquired	r, from line 9 of 	the 2008 Capital Los Enter here and on li	(e) Cost or other bas (see page 4 of the	4 (5 70,455,768 (f) Gain or (loss) for the entire year
art	Short-term capital loss carryover Enter to Carryover Worksheet Net short-term gain or (loss). Combine licolumn (3) on the back. Long-Term Capital Gains and Loss (a) Description of property	he amount, if any nes 1a through 4 ses - Assets Held (b) Date acquired (mo , day, yr)	more Than On (c) Date sold (mo , day, yr)	the 2008 Capital Los Enter here and on lin e Year (d) Sales price	(e) Cost or other bas (see page 4 of the instructions)	4 (5 70,455,768 (f) Gain or (loss) for the entire year

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Capital gain distributions

column (3) on the back

Schedule D (Form 1041) 2009

-38,061,424.

9

10

11

10

Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts .

Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet

Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a,

Gain from Form 4797, Part I

Par	t III Summary of Parts I and II	••••	(1) Be	neficiaries'	(2) Est	ate's	(A) T : :
	Caution: Read the instructions before completing this	part	1	page 5)	or tru		(3) Total
13	Net short-term gain or (loss)	13					70,455,768
14	Net long-term gain or (loss):						20.001.404
a	Total for year	14a					-38,061,424
	Unrecaptured section 1250 gain (see line 18 of the wrksht)	_	<u> </u>		-		
1 E	28% rate gain	14c					32,394,344
	: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Fo		LT Parti	line 4a) If line	s 14a and 1	5 colun	
	rt V, and do not complete Part IV If line 15, column (3), is a net loss, complete F						
Par	t IV Capital Loss Limitation		-				
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T,						
а	The loss on line 15, column (3) or b \$3,000					16 (
Note Carry	: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, pag o ver Worksheet on page 7 of the instructions to figure your capital loss carryove	ge 1, lir. er	ne 22 (or F	orm 990-T, II	ne 34), is a	loss, co	mplete the Capital Loss
Par					· · · · · · · · · · · · · · · · · · ·		
	1041 filers. Complete this part only if both lines 14a and 15 in colu	umn (2	2) are gai	ns, or an am	nount is en	tered ii	n Part I or Part II and
	e is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is mo						•
	ion: Skip this part and complete the worksheet on page 8 of the instru	uctions	s if				
	ther line 14b, col. (2) or line 14c, col. (2) is more than zero, or oth Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.						
	1 990-T trusts. Complete this part only if both lines 14a and 15 al	re gair	ns. or au	alified divide	ends are ir	ncluded	d in income in Part
	orm 990-T, and Form 990-T, line 34, is more than zero. Skip this pa						
eithe	er line 14b, col (2) or line 14c, col (2) is more than zero						
17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line	34) .	. 17				
18	Enter the smaller of line 14a or 15 in column (2)						
	but not less than zero						
19	Enter the estate's or trust's qualified dividends						
	from Form 1041, line 2b(2) (or enter the qualified						
	dividends included in income in Part I of Form 990-T)						
20	Add lines 18 and 19						
21	If the estate or trust is filing Form 4952, enter the						
22	amount from line 4g, otherwise, enter -0 ▶ 21 Subtract line 21 from line 20 If zero or less, enter -0		22				
22 23	Subtract line 22 from line 17 If zero or less, enter -0-		23				
23	Subtract line 22 from line 17 in zero or less, enter -0-		20	 			
24	Enter the smaller of the amount on line 17 or \$2,300		24				
25	Is the amount on line 23 equal to or more than the amount on line 2	4?					
	Yes. Skip lines 25 and 26, go to line 27 and check the "No" bo						
	No. Enter the amount from line 23		25				
26	Subtract line 25 from line 24		26				
27	Are the amounts on lines 22 and 26 the same?						
	Yes. Skip lines 27 thru 30 go to line 31 No. Enter the smaller of line 17 or	ine 22	27	<u> </u>			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)		28			.	
	Culturat line 20 from line 27		20				
29	Subtract line 28 from line 27			<u>.l</u>		30	
30	Multiply line 29 by 15% (15)				nd Truete	30	
31	(see the Schedule Ginstructions in the instructions for Form 1041).					31	
	(200 the Contestion of the the the the total of the total total of the					 	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17 Use the 2009 Tax Ra						
	(see the Schedule Ginstructions in the instructions for Form 1041)					33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33						

G, line 1a (or Form 990-T, line 36). .

SCHEDULE D-1 (Form 1041)

Department of the Treasury ▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Continuation Sheet for Schedule D
(Form 1041)

▶ See instructions for Schedule D (Form 1041).

OMB No 1545-0092

2009

Internal Revenue Service Name of estate or trust

THE ANSCHUTZ FOUNDATION

Employer identification number

74-2316617

Part I Short-Term Capital Gains and	Losses - Asset	s Held One Yea	r or Less		
(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
a TOT ASSET BACKED SECURITY					
G/L_			4,311,945.	4,236,514.	75,431
TOT ST BANK DEBT					
CONVERSION			889,999.	845,350.	44,649
TOTAL BOND CONVERTIBLE G/L			1,242.	48,902.	-47,660
TOTAL CORPORATE BOND G/L			280,673,642.	269,983,945.	10,689,697
TOTAL SOVEREIGN BOND G/L			-191,195,367.	-188,647,986.	-2,547,381
TOTAL CREDIT DEFAULT SWAP G/L			8,439,788.	7,221,519.	1,218,269
TOTAL CUMULATIVE PREFERRED G/L			11,792,410.	11,568,191.	224,219
TOTAL ST DEPOSITORY RECEIPT G/L			20,230,732.	17,256,986.	2,973,746
TOTAL ST EQUITY G/L			823,014,419.	802,808,163.	20,206,256
TOTAL EQUITY UNIT G/L			3,746,108.	3,594,634.	151,474
TOTAL EXCHANGE TRADED FUND G/L			893,097,820.	-880,744,662.	-12,353,158
TOTAL LISTED OPTION G/L			331,094.	522,835.	-191,741
TOTAL ST LOAN G/L			140,848,911.	134,697,594.	6,151,317
TOTAL ST MUTUAL FUND			1,670,443.	1,233,860.	436,583
TOTAL WARRANT G/L				6,500.	-6,500
STOCK HELD BY POWERSHARES DB AG FUND					26,221
STOCK HELD BY OAKTREE PRINCIPAL FUND					57,480
FROM AF CAYMAN PASSTHROUGH S					-523,176
STOCK HELD BY US NATURAL GAS FUND					7,689
STOCK HELD BY ARTIO INT'L EF					632,878
STOCK HELD BY FIRSTMARK II					2,879
STOCK HELD BY TOWERBROOK INV					1,954
STOCK HELD BY TOWERBROOK INV II					19,526
TOTAL PRIVATE EQUITY -					

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b

70,455,768.

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

(a) Description of property (Example	(b) Date	(c) Date sold	(d) Sales price	(e) Cost or other basis	(f) Gain or (loss)
100 sh 7% preferred of "Z" Co)	acquired (mo , day, yr)	(mo , day, yr)	(see page 4 of the instructions)	(see page 4 of the instructions)	Subtract (e) from (
TOTAL LT BANK DEBT				40 005 005	
CONVERSION G/L			14,323,656.	13,605,326.	718,33
TOTAL LT DEPOSITORY RECEIPT G/L			22 100 404	25 462 057	2 262 26
RECEIPT G/L			22,199,494.	25,462,857.	-3,263,36
TOTAL LT EQUITY G/L			39,322,567.	38,356,886.	965,68
TOTAL LT LOAN G/L			2,874,468.	2,809,007.	65,46
TOTAL LT MUTUAL FUND G/L	<u></u> .		63,988,372.	86,684,184.	-22,695,81
STOCK HELD BY ARTIO INT'L					
EF					-7,790,45
STOCK HELD BY FIRSTMARK II					177,91
STOCK HELD BY TOWERBROOK					2.7,021
INV				ı	-244,49
STOCK HELD BY TOWERBROOK					
INV II					6,45
STOCK HELD BY WSW 1996					16.21
BUYOUT FUND				·	-46,31
FROM AF CAYMAN PASSTHROUGH S					-6,005,90
STOCK HELD BY POWERSHARES DB AG FUND					39,54
STOCK HELD BY US NATURAL			-		33,34
GAS FUND				F	11,53

	·				
	,				
j					

V 7 F				R TAX ON		P	Date	Date sold
Kind of F				ription	 :	or D	acquired	Date Soid
Gross sale price less	Depreciation allowed/	Cost or other	FMV as of	Adj basis as of	Excess of FMV over		Gain or	
expenses of sale	allowable	basis	12/31/69	12/31/69	adj basis	\coprod	(loss)	
		TOT ASSET B				P		
		PROPERTY TY	PE: SECURIT	FIES				
311,945.		4,236,514.					75,431.	
					_			
		TOTAL LT BA		•	Ь	P		
4323656.		PROPERTY TY	PE: SECURI	LIES			710 220	
4323636.		13605326.					718,330.	
		TOT ST BANK	DEDT CONU	EDCTON		D		
		PROPERTY TY						
889,999.		845,350.	FE. SECORI.	1163			44,649.	
009,999.		043,330.					44,049.	
		TOTAL BOND	CONVERTIBLE	E G/I.		P		
		PROPERTY TY				-		
1,242.		48,902.	5255111.				-47,660.	
-,						$\ \ $.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
		TOTAL CORPO	RATE BOND (G/L		Р		
		PROPERTY TY						
30673642.		269983945.					10689697.	
		1						
		TOTAL SOVER	EIGN BOND (G/L		P		
		PROPERTY TY	PE: SECURI	ries				
.91195367		-188647986					-2547381.	
		TOTAL CREDI				P		
		PROPERTY TY	PE: SECURI	ries				
439,788.		7,221,519.					1,218,269.	
		TOTAL CUMIL	AMINE DDEE!	EDDED C/I				
		TOTAL CUMUL						
1792410.		11568191.	FE. SECURI.	1153			224,219.	
-1176310.		11000191.					227,213.	
		TOTAL ST DE	POSITORY RI	ECEIPT G/L		P		
		PROPERTY TY		· ·				
20230732.		17256986.					2,973,746.	
·							, = = ,	
		TOTAL LT DE	POSITORY R	ECEIPT G/L		Р		
		PROPERTY TY	PE: SECURI	ries				
22199494.		25462857.					-3263363.	
		TOTAL ST EQ				Р		
		PROPERTY TY	PE: SECURI	ries				
3014419.		802808163.					20206256.	
		TOTAL LT EQ		7.7.0		P		
002225		PROPERTY TY	PE: SECURI	LIES			065 603	
39322567.		38356886.					965,681.	
						1		

					1	MENT INCON	Date sold
Kind of P				ription	l	acquired	Date Sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adı basıs	Gain or (loss)	
		TOTAL EQUIT	Y UNIT G/L			P	
746,108.		PROPERTY TY: 3,594,634.	PE: SECURI	ries		151,474.	
		TOTAL EXCHA				P	
93097820		PROPERTY TY -880744662	PE: SECURI	ries		-12353158.	
		TOTAL LISTE			1		
331,094.		522,835.	ie. blooki	1113		-191,741.	
		TOTAL ST LO		ries		2	
0848911.		134697594.				6,151,317.	
		TOTAL LT LOX		TIES	[1		
874,468.		2,809,007.				65,461.	
670,443.		PROPERTY TY		TIES		426 502	
670,443.		1,233,860. TOTAL LT MU'	riini Fiind (C /T		436,583.	
3988372.		PROPERTY TY: 86684184.				-22695812.	
		TOTAL WARRA	NT G/L			2	
		PROPERTY TY:	PE: SECURIT	ΓIES		-6,500.	
		STOCK HELD]	2	
		PROPERTY TY	PE: SECURI	TIES		-7790456.	
		STOCK HELD I			.	ם	
			. Signatur	1110		177,912.	
		STOCK HELD I]		
						-244,494.	
		STOCK HELD I					
						6,459.	

Kınd of F	roperty		Desc	ription		or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adı basıs		Gain or (loss)	
agains of saic	Uniowabie	STOCK HELD			<u> </u>	P	(1000)	
		PROPERTY TY	PE: SECURIT	TIES			-46,313.	
		STOCK HELD	BY POWERSHA	ARES DB AG	FUND	P		
		PROPERTY TY	PE: SECURIT	TIES			26,221.	
		STOCK HELD			FUND	P		
		PROPERTY TY	PE: SECURIT	ries			57,480.	
		FROM AF CAY				P		
		PROPERTY TY	EE: SECUKI	1 1 5 5			-523,176.	
		FROM AF CAY				P		
			12. 0100KI				-6005905.	
		STOCK HELD	BY US NATUR	RAL GAS FUN	D		7,689.	
		STOCK HELD	BY ARTIO IN	NT'L EF		P		
		PROPERTY TY	PE: SECURIT	TIES			632,878.	
		STOCK HELD				Р		
		PROPERTY TY	PE: SECURIT	ries -			2,879.	
		STOCK HELD PROPERTY TY				Р		
		PROPERTY	PE: SECURI	ITES			1,954.	
		STOCK HELD PROPERTY TY				Р		
			22301.1				19,526.	
		STOCK HELD PROPERTY TY			FUND	Р		
							39,543.	
		STOCK HELD PROPERTY TY			D	P		
							11,533.	
<u> </u>								

Kind of Property Stross Sale Depreciation price less allowed/ expenses of sale TOTAL PRIVATE EQUITY - OTHER PROPERTY TYPE: SECURITES TOTAL GAIN(LOSS) TOTAL GAIN(LOSS) Cast or percention other as of so of sale allowable basis 12/3169	
Gross sale price less allowed/ allowable allowable TOTAL PRIVATE EQUITY - OTHER PROPERTY TYPE: SECURITIES Gross sale price less allowable as of as of FMV over adj basis (loss) Gain or (loss) TOTAL PRIVATE EQUITY - OTHER PROPERTY TYPE: SECURITIES	sold
price less allowed/ allowable other as of 12/31/69 allowable basis 12/31/69 allowable basis 12/31/69 allowable property Type: SECURITIES 43205116.	
TOTAL PRIVATE EQUITY - OTHER PROPERTY TYPE: SECURITIES 43205116.	
PROPERTY TYPE: SECURITIES 43205116.	
PROPERTY TYPE: SECURITIES 43205116.	
43205116.	
TAL GAIN (LOSS)	
TAL GAIN(LOSS) 32394344.	
TAL GAIN(LOSS) 32394344.	
OTAL GAIN(LOSS) 323943441	

ATTACHMENT	1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

	REVENUE AND	NET
DESCRIPTION	EXPENSES PER BOOKS	INVESTMENT INCOME
INTEREST INCOME - CASH AND EQUIVALENTS	208,400.	208,400.
TOTAL	208,400.	208,400.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST ABD DIVIDEND INCOME - TAF INTERST AND DIVIDEND INCPASS THROUGHS	18,532,646.	18,532,646. 8,705,080.
TOTAL	18,532,646.	27,237,726.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INCOME UNREALIZED G/L		25,396. 11,539,205.	25,396.
OTHER INCOME FROM PASS THROUGHS FOREIGN CURRENCY EXCHANGE G/L PREMIUM ON DEBT INVESTMENTS		-78,622. 59,892.	-312,664. -78,622. 59,892.
	TOTALS	11,545,871.	-305,998.

3 (11 (11)	CITACONTO			
$\Delta \cdots \Delta$	CHMENT	- 21		
$\alpha + \alpha$	CITITION			

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES		1,625.	163.		1,462.
	TOTALS	1,625.	163.	0.	1,462.

ATTACHMENT	5
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	REVENUE	
	AND	NET
	EXPENSES	INVESTMENT
DESCRIPTION	PER BOOKS	INCOME
PROFESSIONAL CONSULTANTS	963,727.	963,727.
TOTALS	963,727.	963,727.

FORM 990PF, PART I - TAXES

DESCRIPTION	•	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT <u>INCOME</u>	CHARITABLE PURPOSES
PROPERTY TAXES PAYROLL TAXES FEDERAL EXCISE TAX		121. 69,520. 842,058.	12. 6,952.	109. 62,568.
	TOTALS	911,699.	6,964.	62,677.

FORM 990PF, PART I - OTHER EXPENSES

	REVENUE		
	AND	NET	
	EXPENSES	INVESTMENT	CHARITABLE
DESCRIPTION	PER BOOKS	INCOME	PURPOSES
MAINTENANCE SERVICE CONTRACTS	3,209.	321.	2,888.
BUSINESS MEALS	74.	7.	67 .
PROFESSIONAL MEMBERSHIPS	11,100.	1,110.	9,990.
OFFICE SUPPLIES	1,855.	186.	1,669.
SOFTWARE & HARDWARE	5,689.	569.	5,120.
TELEPHONE	520.	52.	468.
COMPANY PARKING / SHUTTLE	2,990.	299.	2,691.
TEMPS / OTHER SERVICES	7,458.	746.	6,712.
OTHER MISCELLANEOUS EXPENSES	53,974.	5 , 397.	48,577.
BUSINESS FEES / PERMITS	50.	5.	45.
TOTALS	86,919.	8,692.	78,227.

FORM 9	90PF, PA	ART II	TO - I	IER NOTES	S AND LOAN	S RECEIVAB	LE	
BORROW	ER:			GREEN TF	REE CREDIT	SOLUTIONS	LLC	
ENDING	BALANCE	E DUE						5,884,688.
ENDING	FAIR MA	ARKET	VALUE					5,884,688.
BORROW	ER:			SHERIDAN	I INVESTME	NT PARTNER	RS I, LLC	
ENDING	BALANCE	E DUE						4,595,091.
ENDING	FAIR MA	ARKET	VALUE					4.595.091.

		ATTACHMENT 8 (CONT'D)
BORROWER:	SHERIDAN PRODUCTIONS PARTNERS	I-A, L.P.
ENDING BALANCE DUE	• • • • • • • • • • • • • • • • • • • •	608,887.
ENDING FAIR MARKET VALUE		608,887.
BORROWER:	SHERIDAN PRODUCTION PARTNERS	I-M, L.P.
ENDING BALANCE DUE		371,912.
ENDING FAIR MARKET VALUE		371,912.

		ATTACHMENT 8 (CONT'D)
BORROWER:	ATRIUM COMPANIES, INC.	
ENDING BALANCE DUE		5,966,557.
ENDING FAIR MARKET VA	LUE	5,966,557.
BORROWER:	IKARIA ACQUISITION INC.	
ENDING BALANCE DUE		7,705,547.
ENDING FAIR MARKET VA	LUE	7,705,547.

74-2316617

		ATTACHMENT 8 (CONT'D)
BORROWER:	IMG WORLDWIDE, INC.	
ENDING BALANCE DUE		3,317,865.
ENDING FAIR MARKET VALUE		3,317,865.
BORROWER:	SONICWALL, INC.	
ENDING BALANCE DUE		8,865,000.
ENDING FAIR MARKET VALUE		8,865,000.

ATTACHMEN.	r. 8	(CONT.	ַ (טי

BORROWER:	CLOPAY AMES TRUE TEMPER HOLDING CORP.	
ENDING BALANCE DUE		5,263,125.
ENDING FAIR MARKET VALUE		5,263,125.
BORROWER:	METALDYNE, LLC	
ENDING BALANCE DUE		1,812,384.
ENDING FAIR MARKET VALUE		1,812,384.

47,973,056.

	ATTACHMENT 8 (CONT'D)
BORROWER: LANTIQ TERM LOAN	
ENDING BALANCE DUE	3,582,000.
ENDING FAIR MARKET VALUE	3,582,000.
TOTAL ENDING BOOK - OTHER NOTES AND LOANS RECEIVABLE	47,973,056.

TOTAL ENDING FMV - OTHER NOTES AND LOANS RECEIVABLE

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERR		ATTACHMENT 9
DESCRIPTION	ENDING BOOK VALUE	ENDING <u>FMV</u>
PREPAID FEDERAL TAXES	921,943	. 921,943.
TOTALS	921,943	921,943.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 10

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
BAFFINLAND IRON MINES CORP AFRICAN BARRICK GOLD LTD APPLE INC ARCHER-DANIELS-MIDLAND CO BON-TON STORES INC CITIGROUP INC CELGENE CORP CRUDE CARRIERS CORP DIGITALGLOBE INC ENERGYSOLUTIONS INC GENERAL MOTORS CO GOOGLE INC GOODYEAR TIRE & RUBBER CO JPMORGAN CHASE & CO LIBERTY MEDIA CORP LIVE NATION ENTERTAINMENT MICROSOFT CORP MADISON SQUARE GARDEN INC NBH HOLDINGS CO INC NEWMONT MINING CORP QUALCOMM INC RAYTHEON COMPANY SPRINT NEXTEL CORP SHERWIN-WILLIAMS CO SUNCOR ENERGY INC TIME WARNER INC UNITED PARCEL SERVICE	2,438,779. 3,276,419. 6,440,805. 1,510,379. 1,520,580. 6,623,854. 4,685,082. 928,299. 8,098,337. 2,280,950. 7,802,730. 2,778,550. 1,611,816. 4,675,000. 4,894,300. 2,488,788. 6,700,815. 4,536,819. 2,525,250. 3,647,460. 4,307,440. 2,409,625. 1,299,594. 3,091,406. 6,530,423. 4,777,380. 4,256,891.	2,438,779. 3,276,419. 6,440,805. 1,510,379. 1,520,580. 6,623,854. 4,685,082. 928,299. 8,098,337. 2,280,950. 7,802,730. 2,778,550. 1,611,816. 4,675,000. 4,894,300. 2,488,788. 6,700,815. 4,536,819. 2,525,250. 3,647,460. 4,307,440. 2,409,625. 1,299,594. 3,091,406. 6,530,423. 4,777,380. 4,256,891.
WELLS FARGO & CO WILLIAMS COS INC EXXON MOBIL CORP YAHOO! INC	3,373,986. 12,418,904. 5,676,096. 4,398,942.	3,373,986. 12,418,904. 5,676,096. 4,398,942.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 10 (CONT'D)

DESCRIPTION	ENDING BOOK VALUE	ENDING <u>FMV</u>
ISHARES MSCI EMERGING MARKET ISHARES RUSSELL 2000 SPDR S&P MIDCAP 400 EFT TRUST ISHARES S&P 100 INDEX FUND SPDR S&P 500 EFT TRUST VANGUARD DTSE ALL-WORLD EX MATERIALS SELECT SECTOR SPDR ENERGY SELECT SECTOR SPDR TECHNOLOGY SELECT SECT SPDR DFA EMERGING MARKETS VALUE POR DODGE & COX INT'L STOCK FUND MFS INSTITUTIONAL INT'L EQUITY OPPENHEIMER DEVELOPING MARKETS PIMCO COMMODITY REALRETURN STR SILCHESTER BUSINESS TRUST WILLIAM BLAIR INST. INT'L GROW WELLINGTON CTF COMMODITIES ACPQW US	6,849,683. 9,586,704. 19,504,252. 6,428,603. 47,126,126. 53,894,500. 4,587,263. 4,209,785. 4,699,732. 27,162,273. 26,400,058. 23,582,925. 23,334,646. 36,806,151. 14,350,353. 26,776,699. 25,638,856. 55.	6,849,683. 9,586,704. 19,504,252. 6,428,603. 47,126,126. 53,894,500. 4,587,263. 4,209,785. 4,699,732. 27,162,273. 26,400,058. 23,582,925. 23,334,646. 36,806,151. 14,350,353. 26,776,699. 25,638,856. 55.
TOTALS	492,944,363.	492,944,363.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION .	ENDING BOOK VALUE	ENDING <u>FMV</u>
AMERICAN PETROLEUM	4,619,640.	4,619,640.
BLACKSTONE HOLDINGS	15,752,642.	15,752,642.
BRIGHTSTAR CORP	4,836,000.	4,836,000.
CANTOR FITZGERALD LP	15,194,581.	15,194,581.
CEDAR BRAKES	36,314.	36,314.
DAVE AND BUSTER'S INC	7,588,080.	7,588,080.
DELTA AIRLINES	1,663.	1,663.
DUNKIN FINANCE CORP	3,953,670.	3,953,670.
EASTON-BELL SPORTS INC	6,712,110.	6,712,110.
GENERAL NUTRITION CENTER FLT	4,259,270.	4,259,270.
HANESBRAND INC	2,989,138.	2,989,138.
INTERACTIVE DATA CORP	6,013,400.	6,013,400.
KKR GROUP FINANCE CO	2,856,815.	2,856,815.
MARINA DISTRICT FINANCE	7,181,250.	7,181,250.
MORGAN STANLEY 5.500%	8,537,735.	8,537,735.
NEXTEL COMMUNICATIONS	8,419,462.	8,419,462.
OAKTREE CAP MANAGEMENT 6.750%	8,945,593.	8,945,593.
PARKER DRILLING CO 9.125%	4,128,615.	4,128,615.
RAIN CII CARBON LLC	1,198,500.	1,198,500.
ROOFING SUPPLY/FINANCE 8.625%	4,182,395.	4,182,395.
ROSETTA RESOURCES INC	6,011,260.	6,011,260.
STALLION OILFIELD HLDGS 10.500	2,347,070.	2,347,070.
SYNCREON GLOBAL IRE/FIN 9.5%	5,746,450.	5,746,450.
AT HOME CORP 0.525% CONVERTIBL	1,051.	1,051.
GENERAL MOTORS CO 4.575% PREF	912,600.	912,600.
TOTALS	132,425,304.	132,425,304.

ATTACHMENT 11

FORM 990PF, PART II - OTHER INVESTMENTS

TOTAL PROPERTY OF THE PROPERTY	ATTACHMENT 12		
DESCRIPTION	ENDING BOOK VALUE	ENDING <u>FMV</u>	
LISTED OPTIONS - XRT US CREDIT DEFAULT SWAPS	1,248. 1,580,120.	1,248. 1,580,120.	
FIRSTMARK CAPITAL PRIVATE EQUI GSO CAPITAL SOLUTIONS PR EQUIT GSO LIQUIDITY OVERSEAS PR EQ	148,669. 6,195,090. 19,085,102.	148,669. 6,195,090. 19,085,102.	
OAK TREE PRIVATE EQUITY TOWERBROOK INVESTORS II PR EQ	7,228,380. 3,471,519.	7,228,380. 3,471,519.	
TOWERBROOK INVESTORS PR EQ WSW BUYOUT FUND PRIVATE EQUITY	634,164. 218,812.	634,164. 218,812.	
TOTALS	38,563,104.	38,563,104.	

FORM 990PF, PART II - OTHER LIABILITIES

ATTACHMENT 13

DESCRIPTION

ENDING BOOK VALUE

INVESTMENTS IN SHORTS

90,032,190.

TOTALS

90,032,190.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION
PHILIP F. ANSCHUTZ 1727 TREMONT PLACE DENVER, CO 80202	CHAIRMAN / DIRECTOR 8.00	20,000.
NANCY P. ANSCHUTZ 1727 TREMONT PLACE DENVER, CO 80202	DIRECTOR 8.00	20,000.
CANNON Y. HARVEY 1727 TREMONT PLACE DENVER, CO 80202	VP/DIRECTOR - NOMINAL	0.
CRAIG D. SLATER 1727 TREMONT PLACE DENVER, CO 80202	SEC/TREAS/DIRECTOR - NOMINAL	0.
M. LAVOY ROBISON 1727 TREMONT PLACE DENVER, CO 80202	EXECUTIVE DIRECTOR 40.00	202,180.
SARAH A. HUNT 1727 TREMONT PLACE DENVER, CO 80202	VICE PRESIDENT / DIRECTOR 20.00	34,583.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION
CHRISTIAN P. ANSCHUTZ 1727 TREMONT PLACE DENVER, CO 80202	DIRECTOR 8.00	20,000.
ELIZABETH A. BROWN 1727 TREMONT PLACE DENVER, CO 80202	PRESIDENT / DIRECTOR 20.00	70,000.
DONALD J. HOPKINS 1727 TREMONT PLACE DENVER, CO 80202	DIRECTOR - NOMINAL	0.
PAMELA S. KALSTROM 1727 TREMONT PLACE DENVER, CO 80202	ASSISTANT VP - NOMINAL	0.
DARLA D. DESAUTELS 1727 TREMONT PLACE DENVER, CO 80202	ASST SECRETARY - NOMINAL	0.
TIMOTHY T. BROWN 1727 TREMONT PLACE DENVER, CO 80202	DIRECTOR 8.00	20,000.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION
CHRISTOPHER W. HUNT 1727 TREMONT PLACE DENVER, CO 80202	DIRECTOR 8.00	15,000.
THOMAS G. KUNDERT 1727 TREMONT PLACE DENVER, CO 80202	ASST TREASURER - NOMINAL	0.
	GRAND TOTALS	401,763.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 15

TITLE AND AVERAGE

HOURS PER WEEK
NAME AND ADDRESS DEVOTED TO POSITION

COMPENSATION

MEGAN ROSENZWEIG 555 17TH STREET, SUITE 2400 DENVER, CO 80202 ACCOUNTANT 40.00

77,130.

TOTAL COMPENSATION

77,130.

ATTACHMENT 16

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SEE ATTACHED SCHEDULE

FEDERAL FOOTNOTES

STATEMENT IN COMPLIANCE WITH REGULATION SECTION 53.4945-5(D), PART VII-B, QUESTION 5C:

THE ANSCHUTZ FOUNDATION MAKES PERIODIC GRANTS TO THE RANDOM ACTS OF KINDNESS FOUNDATION (FEIN: 84-1528369), THE FOUNDATION FOR A BETTER LIFE (FEIN: 84-1529209), BROWN FAMILY FOUNDATION (FEIN: 46-0732753), HUNT FAMILY FOUNDATION (FEIN: 84-1537664) AND THE CHRISTIAN P. ANSCHUTZ FOUNDATION (FEIN: 20-0212397) AND CLAIMS EXEMPTION FROM THE IRC SECTION 4945 TAX. THE ANSCHUTZ FOUNDATION MAINTAINS EXPENDITURE RESPONSIBLITY FOR THE GRANTS TO THESE FIVE FOUNDATIONS. ACCOUNTING FOR THE FOUNDATIONS IS DONE BY THE SAME ACCOUNTANTS AS THE ACCOUNTING FOR THE ANSCHUTZ FOUNDATION. ALL FUNDS EXPENDED ARE EITHER APPROVED OR REVIEWED BY THE ANSCHUTZ FOUNDATION'S EXECUTIVE DIRECTOR. THE EXECUTIVE DIRECTOR OF THE ANSCHUTZ FOUNDATION IS PROVIDED PERIODIC FINANCIAL STATEMENTS FOR THE FOUNDATIONS.

Substantiation of Exercise of Expenditure Responsibility The Anschutz Foundation

TIN 74-2316617 Tax Year Ended 11/30/2010

Form 990-PF, Part VII-B, Line 5

The following information is provided in accordance with IRC Section 4945(h)(3) and Reg 53 4945-5(d) to demonstrate that the foundation exercised expenditure responsibility in regard to its grants

Name and Address of Grantee 1 Foundation For A Better Life 1727 Tremont Place Denver, CO 8020	Amount of Grant \$3,221,012	Date of Grant Various	Purpose of Grant General Operating Support	Amounts Expended by Grantee \$3,221,012	To the Grantor's Knowledge, Grantee Has Diverted a Portion of Funds from the Purpose of of the Grant (Yes/No) No	Dates of Reports Received from the Grantee Monthly	Dates and Results of Any Verification of the Grantee's Reports
2 Random Acts of Kindness Foundation 1727 Tremont Place Denver, CO 8020	\$305,296	Various	General Operating Support	\$ 305,296	No	Monthly	N/A
3 Tim & Libby Brown Foundation 1727 Tremont Place Denver, CO 80202	\$350,000	Various	General Operating Support	\$ 350,000	No	Monthly	N/A
4 The Christian P. Anschutz Foundation 1727 Tremont Place Denver, CO 80202	\$330,000	Various	General Operating Support	\$ 330,000	No	Monthly	N/A
5. Hunt Family Foundation 1727 Tremont Place Denver, CO 80202	\$40,000	6/21/2010	General Operating Support	\$ 40,000	No	Monthly	N/A

THE ANSCHUTZ FOUNDATION

Grant Application Information

The Anschutz Foundation operates as a general purpose foundation whose focus includes education, programs that promote traditional family values and programs that assist the underprivileged. The Foundation does not make donations directly to individuals or any organization that does not qualify as a 501(c)(3) organization under the IRS

Proposal deadlines are January 15, April 15, July 15, and October 15 each year

Applications should be sent to.

Mr Ted Harms Executive Director The Anschutz Foundation 1727 Tremont Pl Denver, CO 80202 (303) 308-8220

Realizing that the cost of preparing a grant proposal can be a major expense, the Foundation recommends that new applicants send a two or three page letter summarizing the funding grant request. The Foundation will use this cover letter as part of its screening process. The grant request should include the following items.

- Name of organization as recognized by the Internal Revenue Service
- Address and telephone number
- Brief history and purpose of organization
- Copy of tax status 501(c)(3) letter from the IRS
- Estimate of costs and the amount requested of the Foundation
- Sources of other funding a) where have your received previous funding, b) other foundations/corporations to whom you have submitted requests for funding for this project/year. Major donors
- Plans for cooperation with other institutions or organizations
- Signature and title of project director and chief administrator (President, Executive Director, or other)
- Please enclose a list of the organization's governing and advisory board members with addresses and telephone numbers
- Include any other information you feel necessary to the proper consideration of your request
- Financial statements, audited if available
- Current year budget

Upon review of your letter, a member of the Foundation staff may contact you for further information

Your request will remain under consideration until you receive notification that it has been either denied or awarded

Organization Name	Grant Amount
1 Liquid House	30,000
A Sanctuary for Military Families	15,000
Access Housing of Adams County	10,000
ACT on Drugs Inc	50,000
All Aboard Westcliffe Inc	5,000
Alliance Defense Fund Inc	25,000
Alliance for Choice in Education	1,000,000
Alpha USA	350,000
Alpine Institute	25,000
Alzheimers Disease and Related Disorders Assoc	25,000
American Association of Petroleum Geologists	15,000
American Conservative Union Foundation	25,000
American Enterprise Institute for Public Policy Research	125,000
American Jewish Committee	10,000
American Legislative Exchange Council	10,000
American Lung Association of the Southwest Inc	6,500
American Museum of Natural History	85,000
American Red Cross - Mile High Chapter	3,000,000
Americans for Prosperity Foundation	5,000
Americas Future Foundation	15,000
Amyotrophic Lateral Sclerosis/ALS Assoc	40,000
Angel Pride Fund	20,000
Arapahoe House Inc	30,000
Armed Services YMCA of the USA	50,000
Arrupe Jesuit high School	50,000
Arvada Community Food Bank Inc	25,000
Aspen Center for Environmental Studies	2,500
Assistant Coach Inc	10,000
Assitance League of Denver	5,000
Association of American Educators Foundation	50,000
Augustana Arts Inc.	25,000
Aurora Chamber Foundation Inc	50,000
AXL Academy Foundation	150,000
Baptist General Conference	100,000
Barnabas Fund Inc	20,000
Belay Enterprises Inc	20,000
Belle Bonfils Memorial Blood Center	50,000
Benevolent Healthcare Foundation	350,000
Bessies Hope	7,500
Bicycle Colorado	75,000
Big Brothers & Big Sisters of Colorado Inc	30,000
Bikedenver	15,000
Bill of Rights Institute	10,000
BOLD Building on Lifes Disappointments	15,000
Boy Scouts of America	75,000
Boys and Girls Clubs of Metro Denver	200,000
Boys Club of Greeley Inc	150,000
CASA of Jefferson and Gilpin Counties	5,000
Cato Institute	25,000

Organization Name	Grant Amount
Center for Education in Law and Democracy	12,000
Center for Education Reform	25,000
Center for Neurological Diseases Rocky Mtn MS Center	550,000
Central Christian Church	20,000
Central City Opera House Assoc	20,000
CEO Forum Inc	25,000
Charities Aid Foundation America	100,000
Cherry Creek Schools Foundation	10,000
Children of Peace International	10,000
Children's Garden Montessorı School	500
Childrens Hospital Foundation	500,000
Childrens Museum of Denver Inc	20,000
Chris Klug Foundation	5,000
Christian Union Inc	25,000
Church of the Holy Ghost	20,000
Clare Booth Luce Policy Institute	25,000
Claremont Inst. for the Study of Statesmanship	50,000
Clarkson Corporation	50,000
Clyfford Still Museum	53,500
Colfax Community Network Inc	15,000
College Summit Inc	25,000
Colorado Academy	32,500
Colorado Ballet Company	10,000
Colorado Baptist General Convention	15,000
Colorado Childrens Campaign Inc	25,000
Colorado Christian University	100,000
Colorado Coalition for the Homeless	250,000
Colorado Coalition to End Hunger	75,000
Colorado Drug Endangered Children Inc	10,000
Colorado Emergêncy Preparedness Ptrsp	100,000
Colorado Fourteeners Initiative	10,000
Colorado Institute of Developmental Pediatrics Inc	8,784
Colorado League of Charter Schools	50,000
Colorado Legacy Foundation	46,360
Colorado Meth Project	250,000
Colorado Museum of Natural History Denver/Museum of Nature & Science	100,000
Colorado National Guard Foundation Inc.	50,000
Colorado Neurological Institute	10,000
Colorado Nonprofit Development Center	75,000
Colorado Prevention Center	15,000
Colorado Public Television Inc	10,000
Colorado Seminary	100,000
Colorado Ski Museum Inc	15,000
Colorado Springs Teen Court Inc	10,000
Colorado State Patrol Family Foundation	50,000
Colorado State University Foundation	1,100,000
Colorado Succeeds	80,000
Colorado Symphony Assoc.	240,000
Colorado Uplift	100,000

Organization Name	Grant Amount
Colorado Veterinary Medical Foundation	10,000
Colorado Volunteers In Juvenile & Crim Justice	15,000
Colorado Youth at Risk Inc	25,000
Colorado Youth Outdoors Ministry Through Lifestyle	10,000
Columbus Foundation	75,000
Common Sense Media	50,000
Community Foundation	25,000
Compa Food Ministries Inc	50,000
Concerts for Kids	50,000
Conscious Alliance	30,000
Coping Well	5,000
Court House Inc DBA Beacon Center	50,000
Covenant House	20,000
Craig Hospital Foundation	1,000,000
Crossroads of the Rockies	20,000
Cunningham Foundation	25,000
Davis Phinney Foundation	25,000
Delores Project	25,000
Denver Academy Inc.	30,000
Denver Active 20-30 Childrens Foundation	20,000
Denver Ballet Guild	10,000
Denver Botanic Garden Inc	50,000
Denver CASA	50,000
Denver Center for the Performing Arts	85,000
Denver Childrens Home	30,000
Denver Domestic Violence Task Force	5,000
Denver Junior Golf DBA First Tee of Denver	10,000
Denver Kids Inc	60,000
Denver Leadership Foundation Inc.	2,500
Denver Options Inc.	150,000
Denver Police Officers Foundation	100,000
Denver Public Library	10,000
Denver Public Schools Foundation	403,500
Denver Rescue Mission	177,000
Denver Scholarship Foundation	210,000
Denver School of Science & Technology	3,000,000
Denver Street School	30,000
Denver Urban Gardens	20,000
Denver Zoological Foundation Inc	50,000
Doctors Without Borders USA Inc	10,000
Domestic & Foreign Missionary Society of the Protestant Church	175,000
Echoes of Hope	30,000
Emily Griffith Foundation Inc.	30,000
Escuela de Guadalupe	20,000
Ethics and Public Policy Center Inc	50,000
Evangelical Presbyterian Church	50,000
Excel Institute Inc	50,000
Excelsior Youth Centers Inc	30,000
Executive Women International Business Career Dev	10,000

Organization Name	Grant Amount
Families First Inc	25,000
Family and Community Education & Support	25,000
Family Research Council Inc	10,000
Family Star Inc	60,000
Family Time Training Inc	10,000
FBI National Citizens Academy	25,000
Federalist Society for Law & Public Policy Studies	25,000
First Descents	35,000
First Judicial District Bar Association Legal Assistance	5,000
Focus Points Family Resource Center	15,000
Food Bank of the Rockies	750,000
Foundation Fighting Blindness	15,000
Foundation for a Better Life	3,221,012
Franciscan Friends of the Poor	15,000
Friends First Inc	10,000
Friends of Beckwith Ranch Inc	5,000
Friends of the Denver Fire Dept	25,000
Friends of the Haven	200,000
Gabby Krause Foundation	10,000
Girls Incorporated of Metro Denver	20,000
Girls on the Run of Denver	11,000
Global Down Syndrome Foundation	25,000
Go For It Foundation	100,000
Good News Communications Inc.	35,000
Gore Range Natural Science School	20,000
Govenor's Residence Preservation Fund	25,000
Grace Christian Church Inc	7,500
Graland School	1,022,500
Greenhouse Partners Scholars	50,000
Griffith Centers for Children Inc	15,000
Grove City College	10,000
Guidestar USA Inc	1,000
Guiding Eyes for the Blind Inc	5,000
Habitat for Humanity of Metro Denver Inc	85,000
Hard At Work Kids - HAWKS	10,000
Healthcorps Inc	15,000
Hillsdale College	150,000
Hollywood Prayer Network	15,000
Home Front Cares	75,000
Hope House of Colorado	10,000
Horatio Alger Assoc of Distinguished Americans	55,000
Hunt Family Foundation	40,000
I Have A Dream Foundation - CO	50,000
Independence Institute	125,000
Independent Womens Forum	10,000
Invest in Kids	30,000
JA Worldwide (Jr Achievement)	150,000
Jeffco Action Center Inc	50,000 150,000
Jewish Family Service of Colorado Inc	150,000

Organization Name	Grant Amount
Judi's House	50,000
Juvenile Law Society Inc	10,000
Kansas University Endowment Assoc	25,000
Kempe Foundation for the Prevention and Treatment of Child Abuse & Neglect	100,000
Kent Denver Country Day School	287,500
Kids Tek	40,000
KIPP Sunshine Peak Academy Inc	50,000
La Raza Services Inc	10,000
Landmark Legal Foundation	20,000
Latter Day Saints Charities	120,000
Laurent Clerc Educational Fund of CO	100,000
Lawrence L and Helen Marie Byrne Foundation	20,000
Leadership Institute	10,000
Leadership Network	1,089,000
Light for Life Foundational International	15,000
Littleton Center for Cultural Arts Foundation Inc Town Hall Arts Center	10,000
Livewell Colorado	25,000
Los Angeles Galaxy Foundation	25,000
Los Angeles Police Foundation	98,500
Lost and Found Inc	50,000
Love Hope Strength Inc	25,000
Lutheran Family Services of Colo	125,000
Make-A-Wish Foundation of Colorado	20,000
Manhattan Institute for Policy Research	75,000
Meals on Wheels of Boulder	10,000
Media Research Center	15,000
Mental Health Center of Denver	25,000
Mercatus Center Inc	10,000
Mercy and Sharing	115,000
Mercy Housing Colorado	50,000
Metro CareRing	100,000
Metropolitan Association for Retarded Citizens	30,000
Mile High Ministries	55,000
Mile High Squash	60,000
-	250,000
Mile High United Way Inc Mission America Coalition	50,000
	5,000
Monarchs Care Foundation	
Montview Boulevard Presbyterian Church	25,000
MOPS International Inc	10,000
Morality in Media Inc	5,000
Mountain Area Land Trust	7,500
Mountain States Legal Foundation	60,000
Museum of Contemporary Art-Denver	50,000
Musicares Foundation Inc	50,000
NAIA Champions of Character Foundation	10,000
National Chamber Foundation	500,000
National Coalition for the Protection of Children & Families	65,000
National Jewish Health	25,000
National Law Enforcement Officers Memorial Fund	50,000

Organization Name	Grant Amount
National Park Foundation	50,000
National Parks Conservation Assoc	60,000
National Right to Work Legal Defense	80,000
National Sports Center for the Disabled	20,000
New Genesis Inc	50,000
New Life in Christ Baptist Church Inc	7,500
No Barriers USA Corp	20,000
Oasis Network	10,000
Open Door Ministries	35,000
Outward Bound Inc	20,000
Pacific Legal Foundation	25,000
Pacific Research Institute for Public Policy	65,000
Parents Television Council Inc	50,000
Peacejam Foundation	25,000
Philanthropy Roundtable	75,000
Pikes Peak Integrated Solutions Inc	5,000
Plains Medical Center Inc.	10,000
Police Foundation of Colorado Springs	25,000
Prion Research Institute	150,000
Prison Fellowship Ministries	75,000
Prison Impact Ministries	15,000
Professional Assoc of Colorado Educators Foundation	50,000
Project 1 27	10,000
Project Angel Heart	200,000
Project PAVE Inc	25,000
Promise Keepers	50,000
Protestant Episcopal Church in the USA in the Diocese of Long Island	25,000
Providence Network	100,000
Public Education and Business Coalition	25,000
Pueblo Cooperative Care Center	10,000
Rachels Challenge	100,000
Random Acts of Kindness Foundation	305,296
Reach Out and Read Inc	40,500
REFUND-Americans for Limited Govt	(13,950)
Religious Alliance Against Pornography	15,000
Restoration Outreach Programs	15,000
Roaring Fork Conservancy	11,000
Rocky Mountain Butterfly Consortium	15,000
Rocky Mountain Cancer Centers Foundation	10,000
Rocky Mountain Childrens Choir	5,000
Rocky Mountain Childrens Law Center	5,000
Rocky Mountain Childrens Resource Camp Wapiyapi	5,000
Rocky Mountain Rural Health Inc.	10,000
Ronald McDonald House Charities	50,000
Sacred Heart House of Denver	20,000
Safehouse Denver Inc	60,000
Salvation Army Territorial Headquarters	200,000
Samaritan House	2,000
Samaritans Purse	200,000

Organization Name	Grant Amount
Second Wind Fund Inc.	15,000
Seniors Inc	20,000
Serra International	5,000
Sewall Child Development Center Inc	50,000
Sigma Chi Foundation	30,000
Simple Truth Ministries of America	5,000
Sister Carmen Community Center Inc	50,000
Smithsonian Institution	25,000
SOS Outreach	30,000
Southern Chaffee County Regional Library District	2,500
Southern Colorado Community Foundation	150,000
Southern Virginia University	25,000
Special Olympics Colorado	15,000
Sportswomen of Colorado Inc	5,000
St Elizabeths Elementary School	100,000
Starlight Starbright Childrens Foundation	100,000
Step 13 Inc	30,000
StudentNewsDaily com	10,000
Summer Scholars	20,000
Summit Foundation	100,000
Tax Foundation	12,000
Teach for America Inc	1,100,000
Tennyson Center for Children at Colorado Christian Home	540,000
The Adoption Exchange	35,000
The American Spectator Foundation	15,000
The Board of Trustees of the Leland Stanford	10,000
The Buxton Initiative Inc	10,000
The Chanda Plan Foundation	40,000
The Childrens Treehouse Foundation	30,000
The Christian P Anschutz Foundation	330,000
The Colorado Museum of Natural History Denver Museum of Nature & Science	750,000
The Colorado Outreach Exchange	50,000
The Common Good Institute Inc	25,000
The Community Foundation for the National Capital Religion	50,000
The David Foster Foundation	50,000
The Denver Art Museum	60,000
The Denver Foundation	100,000
The Domestic & Foreign Missionary Society of the Protestant Episcopal Church	10,000
The Domestic and Foreign Missionary Society of the USA	2,500
The Dove Foundation	5,000
The Forgotten Dog Foundation	1,500
The Friends of the West Custer County Library District Inc	2,500
The Fund for American Studies	10,000
The Gathering Place A Refuge for Rebuilding Lives	100,000
The Greatest Generations Foundation	10,000
The Lincoln Institute for Research and Education	10,000
The National Association of Counsel for Children	10,000
The Navigators	50,000
The Philanthropic Collaborative	5,000

Organization Name	Gra	ant Amount
The Susan G Komen Foundation	-	15,000
The Synod of Colorado - Utah		50,000
The Telos Project		30,000
The Western Stock Show Assoc		2,500
The Womens Foundation of Colorado Inc		10,000
Third Way Center Inc		50,000
Tim and Libby Brown Foundation		350,000
Trinity United Methodist Church		100,000
Trustees of Princeton University		500,000
United Service Organization Inc.		1,000,000
United States Conference of Catholic Bishops/Samaritan House		143,000
Univ of CO Foundation		2,272,500
Univ of CO Hospital Foundation		2,100,000
Urban Peak Denver		200,000
Utah Festival Opera Company		5,000
Vail Valley Foundation		25,000
Valor Christian Schools		50,000
VH-1 Save the Music Foundation		15,000
Volunteers of America Inc		200,000
Washington Legal Foundation		50,000
West Denver Preparatory Charter School		100,000
Wet Mountain Valley Community Foundation		10,000
What Do You Want to Be Inc.		15,000
Whiz Kids Tutoring Inc		20,000
Wildlife Experience Inc		25,000
Wings Over the Rockies Air & Space Museum		25,000
Winter Park Ski Educational Foundation		5,000
Work Options for Women		10,000
World Evangelical Alliance		50,000
World of Difference Inc		17,000
World TEAM Sports		35,000
World Vision International		30,000
Yale University		75,000
Year One Inc DBA Mile High Youth Corps		75,000
Young Americans Center for Financial Education		20,000
Young Americas Foundation		10,000
Young Life		92,500
Young Men's Christian Association Boothbay Region		6,500
Young Philanthropists Foundation		10,000
Youth With A Vision		25,000
Youthbiz Inc.		10,000
	\$	42,806,002

Grants Payable @ 11/30/10

Chanda Plan	\$ 25,000
Colorado State University	\$ 200,000
Denver Art Museum	\$ 200,000
Denver Public Schools Foundation	\$ 125,000
Food Bank of the Rockies	\$ 750,000
Horatio Alger Assoc of Dist Americans	\$ 40,000
Horatio Alger Assoc of Dist. Americans	\$ 135,000
Jewish Family Services	\$ 300,000
Junior Achievement	\$ 200,000
Museum of Contemporary Art Denver	\$ 100,000
Rocky Mountain Lions Eye Institute	\$ 600,000
Teach for America	\$ 3,000,000
	\$ 5,675,000

Grants Accrued in CY

Less [·] Grants Payable @ 11/30/09	 (5,061,000)
	\$ 614,000